

JAMES M. ARDOIN, III

PROFESSIONAL EXPERIENCE:

Jimmy Ardoin & Associates, PLLC
Owner

Houston, Texas
January 2020 - Present

Jones Walker, LLP
Partner

Houston, Texas
October 2017 – January 2020

ArdoinLaw, PLLC
Owner

Houston, Texas
June 2011 – October 2017

Cogdell & Ardoin, LLC
Partner

Houston, Texas
April 2010 – June 2011

Cogdell Law Firm
Associate

Houston, Texas
November 2004 – April 2010

- More than 50 jury trials in both State and Federal Court
- Texas Super Lawyer in White-Collar Crime
- Frequent commentator in the media on legal matters
- Both civil and criminal practice

EDUCATION:

South Texas College of Law
Juris Doctor

Houston, Texas
May 2004

University of Texas at Austin
Bachelor of Arts in History

Austin, Texas
May 2000

SPEECHES, ARTICLES and MEDIA:

- June 2018: Federal Bar Association – How to Try a Case in Federal Court
- April 2018: Jones Walker, LLP White Collar & Compliance Seminar – Trends and Developments in Corporate Compliance and Government Enforcement
- October 2014 to August 2018: Host of Reasonable Doubt, a legal talk show on Houston Media Source and sponsored by the Harris County Criminal Lawyers Association
- November 2015: The First Amendment in Criminal Law; Garland Walker American Inns of Court

- February 2011: Ponzi Schemes: The Ticking Time Bomb of Frauds; Facts and Findings magazine
- November 2010: Honest Services Post *Skilling* Case; Houston Bar Association (Houston, Texas)
- August 2010: *U.S. vs. Skilling: What does the Future Hold for Honest Services?*; Utah State Bar Securities Law Section Conference (Salt Lake City, Utah)
- November 2009: Ethics in Criminal Law; Houston Bar Association (Houston, Texas)

ADMISSIONS:

State of Texas

Southern District of Texas

Northern District of Texas

Eastern District of Texas

Western District of Texas

United States Court of Appeals for the 5th Circuit

United States Court of Appeals for 4th Circuit

PROFESSIONAL ORGANIZATIONS:

Houston Bar Association; National Association of Criminal Defense Lawyers; Texas Criminal Defense Lawyers Association; Harris County Criminal Lawyers Association; Federal Bar Association, and Garland Walker American Inns of Court

REPRESENTATIVE CASES

- United States vs. David Bermingham; Southern District of Texas; One of the “Natwest Three” indicted as part of the Enron collapse and extradited from London; negotiated plea deal for 6 months.
- SEC vs. Laura Pendergast Holt and United States vs. Laura Pendergast Holt; Southern District and Northern Districts of Texas; represented the Chief Investment Officer of Stanford Financial in both civil and criminal proceedings; settled SEC case and negotiated a 36 month sentence in the criminal matter.
- SEC vs. Arthur Wammel and United States vs. Arthur Wammel; Eastern District of Texas; represented client on Ponzi scheme allegations by both the SEC and DOJ.
- SEC vs. Furlong; Northern District of Illinois (Chicago); represented client on allegations by the SEC of running a Ponzi scheme in mining operations.
- United States vs. Nouri; Southern District of California; dismissal of indictment for conspiracy to export to embargoed country (Iran).

- Rodney Tow, Bankruptcy Trustee vs. Wellington Yu et. al.; successful defense of civil claims against client filed by bankruptcy trustee; after 3 weeks of trial trustee dismissed all claims.
- United States vs. Yu; Southern District of Texas; negotiated agreed plea for probation on bank fraud charges involving \$2,500,000 of fraud.
- United States vs. Abdulwahab; U.S. Court of Appeals for 4th Circuit; reversal of all money laundering counts on appeal and 60-year sentence vacated
- State vs. Broussard: successfully convinced Harris County grand jury to no bill client for unlawful carrying of a weapon through Hobby Airport after presenting a grand jury packet
- United States vs. Morgan: Southern District of Texas; fraud charges in connection with Hurricane Ike, successfully convinced judge to give client time served on a case where the statute precluded probation
- United States vs. Buckalew: Southern District of Texas; bank fraud, dismissal of indictment
- United States vs. Texas Oil & Gathering, Inc.: Southern District of Texas; represented refining company charged with violating RCRA, successfully convinced judge at sentencing hearing that the fine on the company should be \$50,000 rather than the \$2mm that the government was requesting
- United States vs. Ranostaj: Southern District of Texas; bank fraud, dismissal of indictment
- United States vs. Villalon: Southern District of Texas; lawyer charged with bankruptcy fraud, successfully convinced prosecutor and the Court that the loss amount was \$300,000 not \$7.2mm as originally alleged resulting in probation for client.
- United States vs. Le: District of Arizona; case dismissed after prevailing on a motion to suppress; case involved the transport of \$1mm cash in heat sealed, vacuum packaging underneath the floor board of a rental van
- SEC vs. Uselton and State of Texas vs. Uselton: Companion criminal/civil investigation of penny stock fraud; reached settlement with the SEC and resolved criminal case for 10 years deferred adjudication